



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Takayuki TSUKAMOTO, et al.

SERIAL NO: 09/956,972

GROUP: 2653

RCE FILED: Herewith

EXAMINER: PSITOS, A. M.

FOR: RECORDING APPARATUS FOR A HOLOGRAPHIC RECORDING MEDIUM
INCLUDING AN ALIGNMENT LASER HAVING A DIFFERENT
WAVELENGTH FROM THE RECORDING LASER

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STATEMENT OF RELEVANCY

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Reference AT (11-311936) on Form PTO-1449:

A recording media has a similar rigid reflecting layer. This media will be used with an optical head disclosed in Japanese Patent Disclosures No. 11-311937 or No. 11-311938, for example.

Reference AU (11-311937) on Form PTO-1449:

An optical head has a similar apparatus to tract the recording beam. There is a great resemblance in optical heads disclosed in Japanese Patent Disclosures No. 11-311937 and No. 11-311938.

Reference AV (11-311938) on Form PTO-1449:

An optical head has a similar apparatus to track the recording beam.

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IN RE APPLICATION OF: Takayuki TSUKAMOTO, et al.

SERIAL NO: 09/956,972

GAU: 2653

FILED: September 21, 2001

EXAMINER: PSITOS, A. M.

FOR: RECORDING APPARATUS FOR A HOLOGRAPHIC RECORDING MEDIUM INCLUDING AN ALIGNMENT LASER HAVING A DIFFERENT WAVELENGTH FROM THE RECORDING LASER

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

Submission required under 37 C.F.R. §1.114

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Previously Submitted:

- ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on
- ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

Enclosed:

- ☐ Amendment/Reply
- ☒ Information Disclosure Statement (IDS)
- ☒ Other: PTO-1449, Statement of Relevancy, Cited References (8), Japanese Office Action

FEES	RATE	CALCULATIONS
<input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of _____ months.	\$130.00	\$0.00
<input checked="" type="checkbox"/> RCE Fee required under 37 C.F.R. §1.17(e)	\$770.00	\$770.00
<input type="checkbox"/>		\$0.00
<input type="checkbox"/>		\$0.00
TOTAL OF ABOVE CALCULATIONS:		\$770.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING AS SMALL ENTITY		\$0.00
TOTAL:		\$770.00

- ☐ A check in the amount of _____ is enclosed
- ☒ Credit card payment form is attached to cover the fees in the amount of **\$770.00**
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136, and any additional fees required under 37 CFR 1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Eckhard H. Kuesters

Registration No. 28,870

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IN RE APPLICATION OF: Takayuki TSUKAMOTO, et al.

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FOR: RECORDING APPARATUS FOR A HOLOGRAPHIC RECORDING MEDIUM INCLUDING AN ALIGNMENT LASER HAVING A DIFFERENT WAVELENGTH FROM THE RECORDING LASER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Eckhard H. Kuesters

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(OSMMN 05/03)

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SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

214253US2SRD

SERIAL NO.

09/956,972

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Takayuki TSUKAMOTO, et al.

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Herewith

GROUP

2653

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	11-219540	8/10/1999	Japan (with English abstract)		X
	AP	01-204220	8/16/1989	Japan (with English abstract)		X
	AQ	59-019251	1/31/1984	Japan (with English abstract)		X
	AR	04-301226	10/23/1992	Japan (with English abstract)		X
	AS	11-282330	10/15/1999	Japan (with English abstract)		X
	AT	11-311936	11/9/1999	Japan		X
	AU	11-311937	11/9/1999	Japan		X
	AV	11-311938	11/9/1999	Japan		X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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